

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 11-0803  
14699

In Re Application Of: Masaki ICHIHARA, et. al.

OCT 27 2003

Serial No.

09/879,323

Filing Date

June 12, 2001

Examiner

Unassigned

Group Art Unit

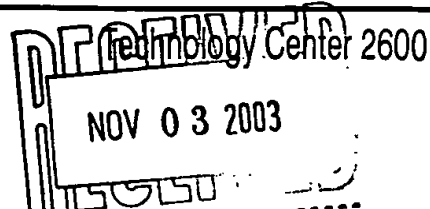
2631

Title: ORTHOGONAL FREQUENCY DIVISION MULTIPLEX MODEM CIRCUIT

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14699

In Re Application: Masaki ICHIHARA, et. al.

Serial No.

09787942

Filing Date

June 12, 2001

Examiner

Unassigned

Group Art Unit

2631

ORTHOGONAL FREQUENCY DIVISION MULTIPLEX MODEM CIRCUIT

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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**Paul J. Esatto, Jr.**

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Signature

Dated: **October 24, 2003**

**Paul J. Esatto, Jr.**

Registration No.: 30,749

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Masaki ICHIHARA, et. al.      **Examiner:** Unassigned  
**Serial No.:** 09/879,323      **Art Unit:** 2631  
**Filed:** June 12, 2001      **Docket:** 14699  
**For:** ORTHOGONAL FREQUENCY      **Dated:** October 24, 2003  
DIVISION MULTIPLEX MODEM  
CIRCUIT

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. 11-266224, dated September 28, 1999, and
2. Korean Laid-Open Patent Application No. 2000-0029653, dated May 25, 2000 together with corresponding Japanese Laid-Open Patent Application No. 10-336139, dated December 18, 1998.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 24, 2003.

Dated: October 24, 2003

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

These references were cited in an Official Action dated September 3, 2003, received from the Korean Intellectual Property Office (KIPO). A copy of the Korean Official Action is enclosed. Applicants are submitting copies of the above-cited references, together with an English translation of the Examiner's comments regarding the references. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Official Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(d), the petition fee and statement are enclosed.

Respectfully submitted,



Paul J. Esatto, Jr.  
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PJE:jt

